

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 95123 / June 17, 2022

Admin. Proc. File No. 3-20485

In the Matter of ALPINE SECURITIES CORPORATION

ORDER SCHEDULING BRIEFS

On August 26, 2021, the Securities and Exchange Commission issued an order instituting administrative proceedings (“OIP”) against Alpine Securities Corporation (“Alpine”), pursuant to Section 15(b) of the Securities Exchange Act of 1934.¹ After the Commission granted Alpine an extension of time to file an answer,² Alpine filed an answer on December 13, 2021. The parties held a prehearing conference on December 16, 2021, and then submitted a joint statement regarding it on December 28, 2021. In the joint statement, Alpine stated that it may file one or more motions relating to the Division of Enforcement’s compliance with Commission Rule of Practice 230. On February 15, 2022, April 12, 2022, May 10, 2022 and June 7, 2022, the parties filed joint status reports in which they updated the Commission on the status of the Division’s production of materials to Alpine pursuant to Rule 230 and their efforts to narrow their disputes regarding it. On June 15, 2022, the parties submitted a joint proposed briefing schedule on Alpine’s anticipated motion to compel the Division to produce certain withheld documents.

In light of the parties’ agreement, we believe it is appropriate to set the following briefing schedule on Alpine’s anticipated motion. Accordingly, IT IS ORDERED that Alpine’s motion and memorandum in support shall be filed by June 22, 2022; the Division’s opposition brief shall be filed by July 13, 2022; and Alpine’s reply brief may be filed by July 26, 2022.³

¹ *Alpine Sec. Corp.*, Exchange Act Release No. 92775, 2021 WL 3836056 (Aug. 26, 2021).

² *Alpine Sec. Corp.*, Exchange Act Release No. 93054, 2021 WL 4242632 (Sept. 17, 2021).

³ Attention is called to Rules of Practice 150-153, 17 C.F.R. §§ 201.150-153, with respect to form and service, and Rule of Practice 154(a) and (c), 17 C.F.R. § 201.154(a) and (c), with respect to motion requirements and length limitations. *See also In re: Pending Admin. Proceedings*, Exchange Act Release No. 88415, 2020 WL 1322001 (Mar. 18, 2020) (stating that “pending further order of the Commission, all reasonable requests for extensions of time will not be disfavored as stated in Rule 161” (citing 17 C.F.R. § 201.161(b)(1))).

The parties' attention is directed to the most recent amendments to the Commission's Rules of Practice, which took effect on April 12, 2021, and which include new e-filing requirements.⁴

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman
Secretary

⁴ *Amendments to the Commission's Rules of Practice*, Exchange Act Release No. 90442, 2020 WL 7013370 (Nov. 17, 2020), 85 Fed. Reg. 86,464, 86,474 (Dec. 30, 2020), <https://www.sec.gov/rules/final/2020/34-90442a.pdf>; *Instructions for Electronic Filing and Service of Documents in SEC Administrative Proceedings and Technical Specifications*, <https://www.sec.gov/efapdocs/instructions.pdf>. The amendments impose other obligations such as a new redaction and omission of sensitive personal information requirement. *Amendments to the Commission's Rules of Practice*, 85 Fed. Reg. at 86,465–81.